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# Open quantum system stochastic dynamics with and without the RWA

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# Abstract

We study the dynamics of a two-level quantum system interacting with a single frequency electromagnetic field and a stochastic magnetic field, with and without making the rotating wave approximation (RWA). The transformation to the rotating frame does not commute with the stochastic Hamiltonian if the stochastic field has nonvanishing components in the transverse direction, hence, applying the RWA requires transformation of the stochastic terms in the Hamiltonian. For Gaussian white noise, the master equation is derived from the stochastic Schrödinger–Langevin equations, with and without the RWA. With the RWA, the master equation for the density matrix has Lindblad terms with coefficients that are time-dependent (i.e., the master equation is time-local). An approximate analytic expression for the density matrix is obtained with the RWA. For Ornstein–Uhlenbeck noise, as well as other types of colored noise, in contradistinction to the Gaussian white noise case, the non-commutation of the RWA transformation and the noise Hamiltonian can significantly affect the RWA dynamics when  $\omega \tau_{corr} \gtrsim 1$ , where  $\omega$  is the electromagnetic field frequency and  $\tau_{corr}$  is the stochastic magnetic field correlation time.

Keywords: two-level quantum system, rotating wave approximation, stochastic dynamics, Gaussian white noise, Ornstein–Uhlenbeck noise

(Some figures may appear in colour only in the online journal)

# 1. Introduction

One of the basic quantum processes studied in physics is the two-level system driven by an electromagnetic field. At least six Nobel prizes were awarded for work on such processes: Rabi, for the resonance method applied to molecules and NMR, Bloch and Purcell for their development of new methods for NMR, Townes, Basov, and Prokhorov for masers, lasers and quantum optics, Kastler for optical pumping, Ramsey for the separated oscillatory fields method and its use in atom clocks, and Haroche and Wineland for developing methods for observing individual quantum particles without destroying them. But quantum systems are never isolated; they interact with their environment, and this gives rise to perturbations that can strongly affect their behavior. Such interaction affects all the phenomena enumerated above, as well as other phenomena including dephasing in metals [1], nuclear-spin-dependent ground-state dephasing in diamond nitrogen-vacency centers [2], broadening and shift of atomic clock transitions [3], and decoherence in quantum information processes [4].

The dynamics of a quantum system coupled to an environment (a bath) is often treated in terms of the reduced density matrix of the system obtained by tracing out the bath degrees of freedom in the state of the system plus bath [5]. Upon assuming that the initial density matrix is of a product state form, making the Born–Markov approximation and the rotating wave approximation (RWA) [5], the resulting master equation for the reduced density matrix is of Lindblad form [6]. An alternative treatment models the coupling of the quantum system and the bath by introducing stochastic fields that act on the system, where the stochastic fields are generated by a complex environment [7–10]. The statistical properties of the stochastic fields are determined by the properties of the environment. The environment can sometimes be modeled as a ensemble of approximately independent

fluctuating fields in steady state (e.g., in thermal equilibrium). In this approximation, the resultant stochastic field felt by the system is a superposition of a large number of components. Due to the central limit theorem [11], the stochastic fields can be represented by Gaussian, stationary stochastic processes which are completely specified by their first two moments. Moreover, if the timescales of the bath are small compared to those of the system, the stochastic processes can be approximated to be Gaussian white noise. The averaged (over stochastic realizations) quantities obtained for Gaussian white noise are equivalent to the averages obtained using a Lindblad master equation approach [7] (see section 4). The stochastic process method used here is called the Schrödinger-Langevin stochastic differential equation formalism (SLSDE) [7]. In principle, the bath could be affected by the system (backaction). This back-action would modify the properties of the noise felt by the system and effectively appear as a selfinteraction mediated by the environment. However, if the perturbation caused to the environment by the quantum system is weak, back-action can be neglected [7, 8]. The neglect of back-action is similar to one of the approximations (the Born approximation) made in the Born-Markov approximation of the master equation approach. Neglect of back-action is called, in the context of the SLSDE formalism, the external noise approximation [7].

Let us explicitly consider a two-level system, e.g., a spin 1/2 particle. The system interacts with a constant magnetic field, whose direction can be taken, without loss of generality, to be along the *z* axis, an electromagnetic field with frequency  $\omega$ , and a stochastic magnetic field, which can be viewed as being due to interaction with a bath of other particles having magnetic dipole moments. The deterministic part of the Hamiltonian for the system can be written as<sup>1</sup>

$$H(t) = \hbar \begin{pmatrix} \frac{\delta}{2} & \Omega \sin \omega t \\ \Omega \sin \omega t & -\frac{\delta}{2} \end{pmatrix},$$
(1)

where the energy difference of the two-level system is given by  $\hbar \delta = -g\mu B_z$ , where  $B_z$  is the static magnetic field, and the Rabi frequency  $\Omega$  is proportional to the electromagnetic field strength that oscillates at frequency  $\omega$ . Denoting the stochastic magnetic field as  $\mathbf{B}_{st}(t)$ , the stochastic Hamiltonian takes the form

$$H_{\rm st}(t) = -\frac{g\mu}{2} \mathbf{B}_{\rm st}(t) \cdot \boldsymbol{\sigma},\tag{2}$$

where  $\boldsymbol{\sigma} = (\sigma_x, \sigma_y, \sigma_z)$  is the vector of Pauli spin matrices. The average of **B**(*t*) over the stochastic fluctuations is taken to vanish, and the field correlation function depends upon the type of noise [7],

$$\overline{\mathbf{B}_{st}(t)} = 0, \quad \overline{B_{i,st}(t)B_{j,st}(t')} = k_{ij}(t-t'), \quad i, j = x, y, z, (3)$$

where  $\overline{(...)}$  denotes the stochastic average, and  $k_{ij}(t - t')$  is

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the stochastic field correlation function. The full Hamiltonian for the two-level system is  $\tilde{H}(t) = H(t) + H_{\rm st}(t)$ . There is a considerable literature on the use of the RWA in such problems [9, 12–25], and we shall explore the stochastic dynamics with and without making the RWA.

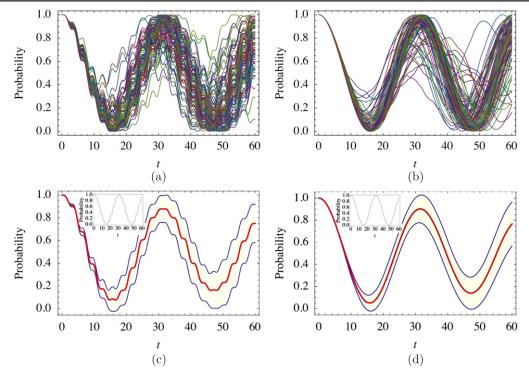
Specifically, here we explore the stochastic approach, and, for Gaussian white noise, the master equation approach, to the problem. We explicitly consider white Gaussian noise (Wiener processes) and colored Gaussian noise (Ornstein-Uhlenbeck processes). The outline of the paper is as follows. In section 2, in order to set out the notation used in this paper and to compare with the stochastic dynamics in the coming sections, we present results for the dynamics of the two-level system in an oscillating field without any stochasticity present, both without and with making the RWA. We discuss the stochastic dynamics in section 3, first treating dephasing due to white noise in the transverse magnetic field  $(b_z)$  in section 3.1, then isotropic white noise in section 3.2. In section 4 we present the master (Liouville-von Neumann) equation results for Gaussian white noise. We find that the RWA transformation to the rotating frame does not commute with the stochastic Hamiltonian when the noise has components along all coordinate directions. This has the potential for affecting the results obtained using the RWA in both stochastic dynamics and master equation dynamics, but we find that for Gaussian white noise, the effect is negligible. We find an analytic solution to the density matrix dynamics for Gaussian white noise. Section 5 considers Ornstein–Uhlenbeck noise, and for this case isotropic noise of this kind, the noncommutation of the RWA transformation with the stochastic Hamiltonian need not be negligible. Finally, a summary and conclusion is presented in section 6. This section also contains an explicit example of a rather wellstudied physical system, nitrogen-vacancy (NV) centers in diamond driven by an electromagnetic field, in which the field induces transitions between levels that are subject to a noisy environment. The reader desiring motivation for the model used here prior to learning the details of the model is encouraged to first read the last paragraph of section 6.

# 2. Dynamics in an oscillating field and the RWA

The time-dependent Schrödinger equation for our two-level system is,  $i\hbar\psi = \tilde{H}(t)\psi$ , where  $\psi(t) = \begin{pmatrix} \psi_b(t) \\ \psi_a(t) \end{pmatrix}$  is the two-component solution and  $\tilde{H}(t)$  is the time-dependent Hamil-

tonian given by the sum of (1) and (2). In this section, for the sake of comparison with the stochastic dynamics to be presented in sections 3 and 5, and the master equation results in section 4, we discuss the treatment of the problem without a stochastic Hamiltonian, both without and with making the RWA (i.e., transforming to the rotating frame wherein the Hamiltonian  $\mathcal{H}_{RWA}$  is time-independent). The time-dependent Schrödinger equation will be solved with the initial condition

<sup>&</sup>lt;sup>1</sup> The Hamiltonian in equation (1) is often termed semiclassical because the electromagnetic field is treated classically, rather than using a second-quantized radiation field.



**Figure 1.** Dephasing of on-resonance transitions due to a stochastic field  $b_z(t)$ . (a) Hundred stochastic realizations of the probability  $P_b(t) = |\psi_b(t)|^2$  versus time for the on-resonance case, for  $\delta = 1.0$ ,  $\omega = 1.0$  and  $\Omega = 0.2$  in the presence of a stochastic magnetic field along the *z* direction with volatility  $w_0 = 0.1$ . (b) 100 stochastic realizations of the rotating wave approximation for the probability  $P_b(t)$  versus time for the on-resonance case, for  $\Delta = 0$ ,  $\omega = 1.0$  and  $\Omega = 0.2$  in the presence of a stochastic magnetic field along the *z* direction with volatility  $w_0 = 0.1$ . (c) Average probability  $\overline{P_b(t)} = \psi_b^*(t)\psi_b(t)$  and the average plus and minus standard deviation of the probability versus time for  $\delta = 1.0$ ,  $\omega = 1.0$  and  $\Omega = 0.2$  and a stochastic field in the *z* direction with volatility  $w_0 = 0.1$ . (d) Average rotating wave approximation probability  $\overline{P_b(t)}$  and the average plus and minus standard deviation of the probability wave approximation probability  $\overline{P_b(t)}$  and the average plus and minus standard deviation of the probability wave approximation probability  $\overline{P_b(t)}$  and the average plus and minus standard deviation of the probability  $\omega_0 = 0.1$ . (d) Average rotating wave approximation probability  $\overline{P_b(t)}$  and the average plus and minus standard deviation of the probability versus time for  $\Delta = 0$ ,  $\omega = 1.0$  and  $\Omega = 0.2$  and a stochastic field in the *z* direction with volatility  $w_0 = 0.1$ . For comparison, the insets in (c) and (d) show the results without any noise.

$$\psi(0) \equiv \begin{pmatrix} \psi_b(0) \\ \psi_a(0) \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$
 at time  $t = 0$ . The probabilities for

being in states b and a at time t are given by  $P_b(t) = |\psi_b(t)|^2$ and  $P_a(t) = |\psi_a(t)|^2$ . The inset in figures 1(c) and (d) show the calculated probability  $P_{h}(t) = |\psi_{h}(t)|^{2}$  versus time for the on-resonance case,  $\delta/\omega = 1$ , and Rabi frequency  $\Omega/\omega = 0.2$ , without and with making the RWA. For any detuning  $\delta$ , the probabilities oscillate (Rabi-flop) with generalized Rabi frequency  $\Omega_g = \sqrt{\Omega^2 + \Delta^2}$ , where  $\Delta = \omega - \delta$  is the detuning from resonance. Moreover, without making the RWA, there is a fast oscillation at frequency  $\omega + \delta$ , which is clearly evident, and there is also a Bloch-Siegert shift of the resonance frequency by  $\delta \omega_{\rm BS} = \Omega^2/(4\omega)$  [26]. The insets show that, for the on-resonance case,  $\Delta = 0$ , aside from the additional oscillations due to the high frequency components and the small Bloch-Siegert shift (which is barely visible here, since  $\omega_{\rm BS} = 0.01$ ), the nature of the RWA dynamics is rather similar to that obtained without making the RWA.

If  $\delta \approx \omega$ , one often makes the RWA, wherein one transforms to a rotating frame wherein the Hamiltonian, after neglecting a quickly oscillating component, is approximately time-independent. Letting the transformation to the rotating

frame,  $\mathcal{U}(t)$ , be such that [27]

$$\psi(t) = \mathcal{U}(t)\varphi(t), \quad \mathcal{U}(t) = \begin{pmatrix} e^{-i\delta_b t} & 0\\ 0 & e^{-i\delta_a t} \end{pmatrix}, \quad (4)$$

taking  $\delta_a = -\delta/2$  and  $\delta_b = \omega + \delta_a$ , and noting that

$$i\hbar \frac{\partial \varphi(t)}{\partial t} = \left[ \mathcal{U}^{\dagger}(t)\mathcal{H}\mathcal{U}(t) - i\hbar \mathcal{U}^{\dagger}(t)\dot{\mathcal{U}}(t) \right] \varphi(t), \quad (5)$$

and dropping quickly oscillatory terms, yields the following Schrödinger equation for the spinor  $\varphi(t)$ :

$$i\frac{d}{dt}\begin{pmatrix}\varphi_b\\\varphi_a\end{pmatrix} = \begin{pmatrix}-\Delta & i\frac{\Omega}{2}\\ -i\frac{\Omega}{2} & 0\end{pmatrix}\begin{pmatrix}\varphi_b\\\varphi_a\end{pmatrix}.$$
 (6)

Applying a further transformation,  $q_a \rightarrow -iq_a$ , the full RWA transformation becomes

$$\mathcal{U}(t) = e^{i\delta t/2} \begin{pmatrix} e^{-i\omega t} & 0\\ 0 & -i \end{pmatrix}.$$
 (7)

This last transformation turns the complex Hermitian timeindependent Hamiltonian matrix on the rhs of (6) into a real symmetric time-independent Hamiltonian, and the Schrödinger equation becomes [27],

$$i\frac{d}{dt}\begin{pmatrix}\varphi_b\\\varphi_d\end{pmatrix} = \begin{pmatrix}-\Delta & \frac{\Omega}{2}\\ \frac{\Omega}{2} & 0\end{pmatrix}\begin{pmatrix}\varphi_b\\\varphi_d\end{pmatrix}.$$
 (8)

Hence, the (constant) RWA Hamiltonian matrix is  $H_{\text{RWA}} \equiv \hbar \begin{pmatrix} -\Delta & \Omega/2 \\ \Omega/2 & 0 \end{pmatrix}$ . The criteria for the validity of the RWA are  $|\Delta| \ll \omega$  and  $\Omega < \omega$ .

In the remainder of this paper, we use dimensionless quantities; we set  $\hbar = 1$ , take time to be measured in units of  $1/\omega$ , and the frequencies  $\delta$  and  $\Omega$  to be in units of  $\omega$  (i.e., we take  $\omega = 1$ ). The dimensionless system Hamiltonian is given by

$$\mathcal{H}(t) = \begin{pmatrix} \frac{\delta}{2} & \Omega \sin t \\ \Omega \sin t & -\frac{\delta}{2} \end{pmatrix}, \tag{9}$$

the dimensionless stochastic Hamiltonian is

$$\mathcal{H}_{\rm st}(t) = \mathbf{b}(t) \cdot \boldsymbol{\sigma} = \begin{pmatrix} b_z(t) & b_x(t) - \mathrm{i}b_y(t) \\ b_x(t) + \mathrm{i}b_y(t) & -b_z(t) \end{pmatrix}, (10)$$

where  $\mathbf{b}(t)$  is the dimensionless stochastic magnetic field, and the full dimensionless Hamiltonian is  $\tilde{\mathcal{H}}(t) = \mathcal{H}(t) + \mathcal{H}_{st}(t)$ . The RWA Hamiltonian is  $\mathcal{H}_{RWA} = \begin{pmatrix} -\Delta & \Omega/2 \\ \Omega/2 & 0 \end{pmatrix}$ , where the dimensionless detuning is  $\Delta = \omega - \delta$  (i.e.,  $\Delta = 1 - \delta/\omega$ ), and the dimensionless Rabi frequency is  $\Omega$  (i.e.,  $\Omega/\omega$ ). An important parameter regarding the stochastic magnetic field is the noise correlation time  $\tau_{corr}$ , which is determined by the nature of the noise. For Gaussian white noise,  $\tau_{corr}$  is infinitesimal, but for an OU process (colored Gaussian noise)  $\tau_{corr}$ is an important parameter that characterizes the noise. We shall see in sections 3.2 and that an important dimensionless parameter that characterizes the response of the system to the noise is  $\omega \tau_{corr}$  (in dimensionless time units,  $\tau_{corr}$ ).

### 3. Stochastic dynamics

There are a number of ways of modeling stochastic processes, including a master equation method [5], a Monte Carlo wave function method [28], and a stochastic differential equations method [7, 8, 29, 30]. In this section, we use stochastic differential equations.

If the characteristic timescale of the fluctuations is much shorter than the timescale of free evolution of the system, the noise correlations can be well approximated by a Dirac  $\delta$ function to obtain the *Gaussian white noise limit* wherein the dimensionless correlation functions  $\kappa_{ij}(\tau)$  (related to the dimensional correlation functions appearing in equation (3)) are proportional to Dirac  $\delta$  functions. If the noise in the different components of the magnetic field is uncorrelated

$$\overline{b_i(t)b_j(t')} = \kappa_{ij}(t-t') = w_{0,i}^2 \,\delta_{ij} \,\delta(t-t').$$
(11)

The quantity  $w_{0,i}$  is the dimensionless volatility of the *i*th component of the dimensionless stochastic field  $\mathbf{b}(t)$ .

A Wiener process w(t) is the integral over time of white noise  $\xi(t)$ , i.e.,  $\xi(t) = dw(t)/dt$ , with  $\overline{\xi(t)} = 0$  and  $\overline{\xi(t)\xi(t')} = w_0^2 \delta(t - t')$  (compare with equation (11)). Thus, the stochastic magnetic field components are taken to be the time-derivative of a Wiener process. The SLSDE for a quantum system coupled to a Wiener stochastic process w(t)via operator  $\mathcal{V}$  is given by [7],

$$\psi = -i\mathcal{H}\psi + w_0\,\xi(t)\,\mathcal{V}\psi - \frac{w_0^2}{2}\mathcal{V}^{\dagger}\mathcal{V}\psi.$$
(12)

The  $w_0^2$  term in equation (12) insures unitarity if  $\mathcal{V}$  is a Hermitian operator [7]. Equation (12) can be easily generalized to include *sets* of operators  $\mathcal{V}_i$ , stochastic processes  $w_i(t)$ , and volatilities  $w_{0,i}$ , to obtain the *general* SLSDE

$$\dot{\psi} = -\mathrm{i}\mathcal{H}\psi + \sum_{i} \left( w_{0,i}\xi_{i}(t)\mathcal{V}_{i}\psi - \frac{w_{0,i}^{2}}{2}\mathcal{V}_{i}^{\dagger}\mathcal{V}_{i}\psi \right).$$
(13)

Equation (12) is equivalent to a Markovian quantum master equation with a Lindblad operator  $\mathcal{V}$ , and the more general equation (13) is equivalent to the Markovian quantum master equation

$$\dot{\boldsymbol{\rho}} = -\mathbf{i}[\mathcal{H}, \boldsymbol{\rho}(t)] + \frac{1}{2} \sum_{j} w_{0,j}^{2} \\ \times \left(2\mathcal{V}_{j}\boldsymbol{\rho}(t)\mathcal{V}_{j}^{\dagger} - \boldsymbol{\rho}(t)\mathcal{V}_{j}^{\dagger}\mathcal{V}_{j} - \mathcal{V}_{j}^{\dagger}\mathcal{V}_{j}\boldsymbol{\rho}(t)\right),$$
(14)

with the set of Lindblad operators  $\mathcal{V}_i$  [5, 7].

For example, for the dephasing case to be studied in section 3.1, the Lindblad operator is taken to be  $\mathcal{V} = \sigma_z$ , and the wave function  $\psi$  is a two component spinor. In stochastic process notation [7, 8, 29, 30], equation (12), takes the form

$$d\psi_b(t) = \{ \left[ -i \left( \mathcal{H}_{bb} \psi_b(t) + \mathcal{H}_{ba} \psi_a(t) \right) - \frac{w_0^2}{2} \psi_b(t) \right] dt + w_0 \psi_b(t) dw \},$$
(15a)

$$d\psi_a(t) = \{ [-i(\mathcal{H}_{ab}\psi_b(t) + \mathcal{H}_{aa}\psi_a(t)) - \frac{w_0^2}{2}\psi_a(t)] dt - w_0\psi_a(t) dw \}.$$
(15b)

For any specific realization of the stochastic process, these equations are solved to yield the two component spinor  $\left(\psi_b(t)\right)$  (which is itself a stochastic variable). The (survival)

 $\begin{pmatrix} \psi_b(t) \\ \psi_a(t) \end{pmatrix}$  (which is itself a stochastic variable). The (survival)

probability to be in state *b* at time *t* is  $P(t) = |\psi_b(t)|^2$ . The distinction, as compared with the deterministic case ( $w_0 = 0$ ), is that now P(t) is a random function with distribution D[P(t)]. Equations (15*a*) and (15*b*) are easily generalizable to white noise in all three components of the magnetic field; the Lindblad operators appearing in (13) are then  $\mathcal{V}_i = \sigma_i$  and

 $w_{0,i}$  are the volatilities for  $b_i(t)$ . For the isotropic case (treated in section 3.2), the numerical values of  $w_{0,i}$  are equal.

### 3.1. Dephasing due to transverse white noise

Dephasing of a system occurs due to interaction between the system and its environment which scrambles the phases of the wave function of the system without *directly* affecting probabilities. One of the methods for treating dephasing of a quantum system is to model the interaction with the environment in terms of a time-dependent random noise. Such an approach enables the calculation of not only the averaged survival probability,  $\overline{P(t)}$ , but also its standard deviation,  $\Delta P(t) = \left(\overline{P(t)^2} - (\overline{P(t)})^2\right)^{1/2}$ , and its statistical distribution function  $\mathcal{D}[P(t)]$ . When the fluctuating magnetic field has a non-vanishing component only along *z*, equation (2) reduces to

$$\mathcal{H}_{\rm st}(t) = \xi(t)\sigma_z,\tag{16}$$

i.e.,  $\mathbf{b}(t) = \xi(t)\hat{\mathbf{z}}$ , where  $\xi(t)$  can be taken as white noise, which has an infinitesimal correlation time, if the correlation time of the bath,  $\tau_{\text{corr}}$ , is very fast in comparison to the time-scales of the spin system, so, to a good approximation

$$\overline{\xi(t)} = 0, \quad \overline{\xi(t)\xi(t')} = w_0^2 \delta(t - t'). \tag{17}$$

As discussed earlier in connenction with equation (11), the white noise  $\xi(t)$  can be written as the time derivative of a Wiener process w(t),  $\xi(t) = dw(t)/dt$ , or more formally, the Wiener process w(t) is the integral of the white noise. The stochastic Hamiltonian in equation (16) gives rise to dephasing of the wave function of the two-level system. In the case of dephasing due to collisions with particles, each collision can have a random duration and a random strength, and in the case of interactions with an environment, the many degrees of freedom of the environment can randomly affect the phase of the wave functions  $\psi_a(t)$  and  $\psi_b(t)$ . This results in a timedependent uncertainty  $\delta \varphi_i(t)$  in the phase of the wave function component  $\psi_i(t)$ . At a time  $t = \tau$  for which  $\delta \varphi_i(\tau) = 2\pi$ , interference is completely lost. The volatility (the stochastic field strength)  $w_0$  appearing in equation (17) is inversely proportional to the correlation time  $\tau_{\phi} \equiv \tau_{\rm corr}$  of the bath. Incorporation of dephasing in two-level system dynamics has been extensively studied [33-37].

Our stochastic calculations were carried out using the *Mathematica* 9.0 built-in command *ItoProcess* [31]. Figure 1 shows the results for a stochastic magnetic field in the *z* direction that corresponds to white noise with volatility  $w_0 = 0.1$ . Specifically, figures 1(a) and (b) show 100 stochastic realizations of the probability  $P_b(t) = |\psi_b(t)|^2$  versus time for the on-resonance case,  $\delta = 1.0$ ,  $\omega = 1.0$  and  $\Omega = 0.2$ , computed without and with making the RWA. Figures 1(c) and (d) show the mean probabilities and the standard deviations for these cases. For very large time, the oscillations in the probabilities die out and the probabilities go to 1/2. Figure 2(a) shows the histogram of the probability

 $P_b(T)$  distribution,  $\mathcal{D}[P_b(T)]$ , at the final computed time, T = 60, for the case shown in figure 1(a).

### 3.2. Decoherence due to isotropic white noise

Figure 3(a) shows the average probability  $\overline{P_b(t)} = \psi_b^*(t)\psi_b(t)$ , and the average plus and minus the standard deviation of the probability calculated using equation (13) in the form

$$\dot{\psi} = \left(-\mathrm{i}\,\mathcal{H} - \frac{3w_0^2}{2}\mathbf{1}\right)\psi + w_0\sum_i \xi_i(t)\sigma_i\,\psi,\tag{18}$$

where the white noise  $\xi_i(t)$  satisfy  $\overline{\xi_i(t)} = 0$  and  $\overline{\xi_i(t)\xi_j(t')} = \delta_{ij} \,\delta(t - t')$ , with the volatilities  $w_{i,0} \equiv w_0 = 0.1$  for i = 1, 2, 3. Figure 2(b) shows the histogram of the probability  $P_b(t)$  at the final computed time, t = 60, for the case shown in figure 3(a).

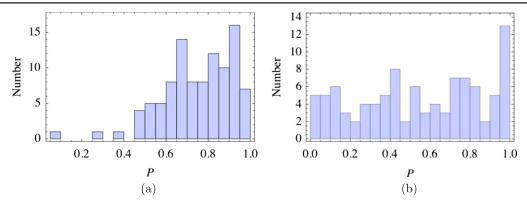
The RWA (i.e., the transformation to the rotating frame) for the Schrödinger equation in equation (20) (or (13)) must be carried out with care because the unitary transformation matrix in equation (7) does not commute with the  $\sigma_x$  and  $\sigma_y$  stochastic terms in (18). The transformation of the Gaussian white noise Hamiltonian in (18) gives

$$\begin{aligned}
\hat{\mathcal{H}}_{st}(t) &= \mathcal{U}^{\dagger}(t) \left[ w_0 \boldsymbol{\xi} \cdot \boldsymbol{\sigma} \right] \mathcal{U}(t) = w_0 \\
\times \begin{pmatrix} \boldsymbol{\xi}_z & i e^{-i\omega t} \left( \boldsymbol{\xi}_x - i \boldsymbol{\xi}_y \right) \\
-i e^{i\omega t} \left( \boldsymbol{\xi}_x + i \boldsymbol{\xi}_y \right) & -\boldsymbol{\xi}_z 
\end{aligned}$$
(19)

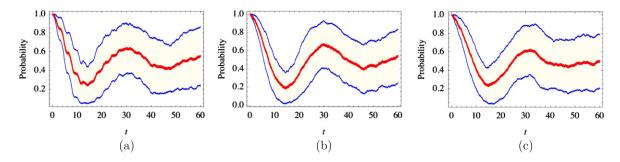
where  $\mathcal{U}(t)$  is given in equation (7), and the SLSDE RWA for Gaussian white noise becomes

$$\dot{\psi} = -i \left( \mathcal{H}_{RWA} - \frac{3w_0^2}{2} \mathbf{1} + \breve{\mathcal{H}}_{st}(t) \right) \psi.$$
(20)

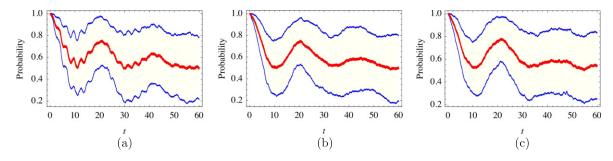
figure 3(b) shows the average probability  $\overline{P_b(t)}$ , and the average plus/minus the standard deviation of the probability, calculated using equation (20). Figure 3(c) shows the results of using  $i\dot{\psi} = \left(\mathcal{H}_{RWA} - \frac{3w_0^2}{2}\mathbf{1} + \mathcal{H}_{st}(t)\right)\psi$ , which neglects the fact that the RWA transformation and the transverse stochastic Hamiltonian do not commute. There is little difference between the results in figures 3(b) and (c), which is not surprising, given that the time dependence of the harmonic function  $e^{i\omega t}$ , i.e.,  $\omega^{-1}$ , is slow compared to the correlation time  $\tau_{corr}$  of white noise, which is effectively zero (i.e., infinitesimal). A significant difference will occur only if  $\omega \tau_{\rm corr} \gtrsim 1$ . Only for noise with a correlation time  $\tau_{\rm corr}$  comparable to  $\omega^{-1}$  or larger are large differences are expected. In section 5 we discuss the case of an Ornstein-Uhlenbeck process with mean reversion rates comparable to the frequency  $\omega$ ; for such cases, we expect a substantial difference between the results of taking the non-commutation into account or not. Figures 4(a)–(c) show the off-resonance case,  $\delta = 1.2, \omega = 1.0, \Omega = 0.2$ . Again, here there is very little difference between the results in figures 4(b) and (c), for the same reasons just discussed.



**Figure 2.** (a) Histogram with 100 paths (realizations) of the probability  $P_b(t)$  in the presence of a stochastic magnetic field along the *z* direction at the final computed time, t = 60 shown in figures 1(a) and (c). (b) Histogram with 100 paths (realizations) of the probability  $P_b(t)$  in the presence of an isotropic stochastic magnetic field at the final computed time, t = 60 to be shown in figure 3(a).



**Figure 3.** Decoherence and dephasing of on-resonance transitions with isotropic white noise. (a) Average probability  $\overline{P_b(t)} = \psi_b^*(t)\psi_b(t)$  and the standard deviation of the probability versus time for  $\delta = 1.0$ ,  $\omega = 1.0$ ,  $\Omega = 0.2$  and volatilities  $w_{0,1} = w_{0,2} = w_{0,3} = 0.1$ . (b) Same as (a) (i.e.,  $\Delta = 0$ ), except calculated using the RWA. (c) Same as (b), except that the non-commutativity of the RWA transformation and the transverse stochastic Hamiltonian not properly accounted for.



**Figure 4.** Decoherence and dephasing of off-resonance transitions. (a) Average probability  $\overline{P_b(t)} = \psi_b^*(t)\psi_b(t)$  versus time for  $\delta = 1.2$ ,  $\omega = 1.0$ ,  $\Omega = 0.2$  and volatilities  $w_{0,1} = w_{0,2} = w_{0,3} = 0.1$ . (b) Same as (a) (i.e.,  $\Delta = 0.2$ ), except calculated using the RWA. (c) Same as (b), except that the non-commutativity of the RWA transformation and the transverse stochastic Hamiltonian terms is not properly accounted for.

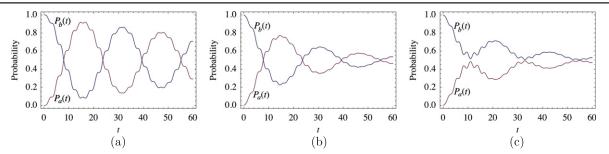
# 4. Master (Liouville-von Neumann) equation results

As already mentioned, white noise gives average results that are identical to those obtained with a Markovian Liouville– von Neumann density matrix equation having Lindblad terms. For the isotropic white noise case in equation (20) the corresponding density matrix equation is

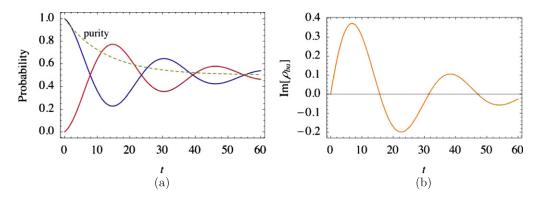
$$\dot{\rho} = -\mathrm{i}[\mathcal{H}(t), \rho(t)] + w_0^2 \left( 3\rho(t) - \sum_i \sigma_i \,\rho(t) \,\sigma_i \right). \tag{21}$$

Figure 5 shows the results of such density matrix calculations.

Figure 5(a) is for the on-resonance dephasing case with Lindblad operator  $\sigma_z$  this case of white noise only in the *z* component of the magnetic field, see equation (16), gives the master equation,  $\dot{\rho} = -i[\mathcal{H}(t), \rho(t)] + w_0^2(\rho(t) - \sigma_z \rho(t) \sigma_z)$ ], figure 5(b) is for the on-resonance isotropic white noise case, and figure 5(c) is for the off-resonance case with  $\Delta = 1.2, \omega = 1.0$ . In particular,  $\rho_{bb}(t)$  using the density matrix (master equation) treatment is identical, to within numerical accuracy, to the average probabilities  $\overline{P_b(t)}$  computed with the stochastic differential equation approach. However, the Liouville–von Neumann density matrix approach cannot easily determine the distribution  $\mathcal{D}[P_b(t)]$  of



**Figure 5.** Master (Liouville–von Neumann density matrix) equation calculations of dephasing and decoherence. (a) Dephasing of the probability  $\rho_{bb}(t)$  versus time for the on-resonance case,  $\delta = 1.0$ ,  $\omega = 1.0$ ,  $\Omega = 0.2$ , and white noise in the *z* component of the magnetic field with volatility  $w_0 = 0.1$ . (b) Decoherence and dephasing of the probability  $\rho_{bb}(t)$  versus time for  $\delta = 1.0$ ,  $\omega = 1.0$ ,  $\Omega = 0.2$ , and isotropic white noise with volatilities  $w_{0,1} = w_{0,2} = w_{0,3} = 0.1$ . (c) Same as for (b), except for the off-resonance case with  $\delta = 1.2$ ,  $\omega = 1.0$ .



**Figure 6.** Analytic results obtained using equation (22) for the density matrix for isotropic white noise in the on-resonance case with  $\delta = 1.0$ ,  $\omega = 1.0$ ,  $\Omega = 0.2$ , and  $w_0 \equiv w_{0,1} = w_{0,2} = w_{0,3} = 0.1$ . (a) Density matrix elements  $\rho_{bb}(t)$  and  $\rho_{aa}(t)$ , and the purity Tr [ $\rho^2(t)$ ] (dashed green curve) versus time. Compare with the non-RWA results in figure 5(b). (b) Off-diagonal density matrix  $\rho_{ba}(t)$  versus time. The real part of  $\rho_{ba}(t)$  vanishes for the on-resonance case.

the probability  $P_b(t)$  (to do so would require calculating  $P_b^m(t)$  for all poowers *m*), which can be directly obtained using the stochastic equation approach.

Now, consider the RWA. The analytic solution to equation (21) (isotropic Gaussian white noise), using the RWA Hamiltonian  $\mathcal{H}_{RWA}(t)$  instead of  $\mathcal{H}(t)$ , is given by

$$\rho_{bb}(t) = \frac{1}{2} \left\{ 1 + \frac{e^{-4w_0 t} \left[ \Delta^2 + \Omega^2 \cos\left(\Omega_g t\right) \right]}{4\Omega_g^2} \right\},$$
$$\rho_{ba}(t) = \frac{e^{-4w_0 t} \Omega \left[ \Delta - \Delta \cos\left(\Omega_g t\right) + i\Omega_g \sin\left(\Omega_g t\right) \right]}{4\Omega_g^2},$$

$$\rho_{ab}(t) = \rho_{ba}(t),$$

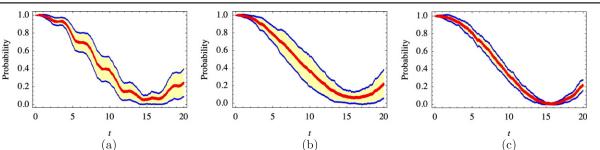
$$\rho_{aa}(t) = \frac{1}{2} \left\{ 1 - \frac{e^{-4w_0 t} \left[ \Delta^2 + \Omega^2 \cos\left(\Omega_g t\right) \right]}{4\Omega_g^2} \right\},$$
(22)

where  $\Omega_g \equiv \sqrt{\Omega^2 + \Delta^2}$ . Figure 6(a) plots the probabilities  $\rho_{bb}(t)$  and  $\rho_{aa}(t)$  and the purity Tr  $[\rho^2(t)]$  versus time for the on-resonance case. As  $t \to \infty$ , the purity goes to 1/2 and the density matrix decays to the democratic state  $\frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ . Figure 6(b) plots the coherence  $\rho_{ba}(t)$  versus time; it has only an imaginary component and it decays to zero as  $t \to \infty$ . The

decay rate of the populations and the coherence is  $4w_0$ , as is evident from the expressions in equation (22). Properly accounting for the non-commutativity of the RWA transformation and the stochastic Hamiltonian, i.e., using the stochastic Hamiltonian in equation (19), does not significantly affect the numerical results for white Gaussian noise. The full RWA probabilities, including non-commutativity effects, are indistinguishable by eye from the results shown in figure 6. The full RWA coherence Im  $[\rho_{ba}(t)]$  is also indistinguishable by eye, and the Re  $[\rho_{ba}(t)]$  is more than an order of magnitude smaller than the imaginary part.

### 5. Ornstein–Uhlenbeck process

Many kinds of stochastic processes have been studied. In order to see significant effects due to the non-commutativity of the RWA transformation and stochastic part of the total Hamiltonian  $\tilde{H}(t) = \mathcal{H}(t) + \mathcal{H}_{st}(t)$ , we need a stochastic process with a correlation time  $\tau_{corr}$  comparable to or greater than the timescale of the driven two level system  $\omega^{-1}$ . A wellknown finite-correlation-time stochastic process is the Ornstein–Uhlenbeck process, which is an example of Gaussian colored noise, which is a generalization of Brownian motion [32]. The mean and the autocorrelation function of an



**Figure 7.** Ornstein–Uhlenbeck noise and on-resonance radiation, highlighting the effects of the non-commutativity of the RWA transformation and the transverse stochastic Hamiltonian. (a) Probability  $\overline{P_b(t)}$  versus time for the on-resonance case,  $\delta = 1.0$ ,  $\omega = 1.0$  (so  $\Delta = 0$ ),  $\Omega = 0.2$ , and Ornstein–Uhlenbeck noise in the *x* component of the magnetic field, with volatility  $w_{0,x} = 0.1$  and mean reversion rate  $\vartheta_x = 1$  (so  $\omega \tau_{corr} = 1$ ). (b) Same as (a) except calculated using the RWA. (c) Same as (b), except that the non-commutativity of the RWA transformation and the transverse stochastic Hamiltonian term is not properly accounted for.

Ornstein-Uhlenbeck process are

$$\overline{\mathcal{O}_{i}(t)} = \mathcal{O}_{0,i} \ \mathrm{e}^{-\vartheta_{i}t} + \mu_{i} \left(1 - \mathrm{e}^{-\vartheta_{i}t}\right),$$

$$\overline{\mathcal{O}_{i}(t) \ \mathcal{O}_{j}(t')} = \delta_{ij} \frac{w_{0,i}^{2}}{2\vartheta_{i}} \mathrm{e}^{-\vartheta_{i}(t+t')} \left[\mathrm{e}^{\vartheta_{i}\min(t,t')} - 1\right]. \tag{23}$$

Here  $\vartheta_i$  is the mean reversion rate of the Ornstein–Uhlenbeck process  $\mathcal{O}_i(t)$ , which is the inverse of the noise correlation time,  $\tau_{\text{corr},i} = \vartheta_i^{-1}$ ,  $w_{0,i}$  is its volatility, and  $\mu_i$  is the mean of the process, which we take to vanish,  $\mu_i = 0$ ; we also take  $\mathcal{O}_{0,i} = 0$ . The stochastic differential equations that we solve are

$$d\psi(t) = \left[-i \mathcal{H}\psi + \sum_{i} \mathcal{O}_{i}(t)\sigma_{i}\psi(t)\right]dt, \qquad (24a)$$

$$\mathrm{d}\mathcal{O}_i(t) = \vartheta_i \Big[ \mu_i - \mathcal{O}_i(t) \Big] \mathrm{d}t + w_{0,i} \, \mathrm{d}w_i(t). \tag{24b}$$

For determining the effects of the non-commutativity of the RWA transformation and stochastic terms in the total Hamiltonian, it is sufficient to use only the term i = x in the sum in equation (24*a*). Doing so simplifies the convergence of the calculation relative to using isotropic Ornstein–Uhlenbeck noise.

Figure 7 shows the calculated average probability  $\overline{P_b(t)}$  versus time and the average plus and minus the standard deviation of the probability calculated using equation (24) for Ornstein–Uhlenbeck noise in the *x* component of the magnetic field for the on-resonance case,  $\delta = 1.0$ ,  $\omega = 1.0$ ,  $\Omega = 0.2$ . Figure 7(b) is calculated using the RWA, and for comparison purposes only, (c) shows the results using a RWA but ignoring the non-commutativity of the RWA transformation and the transverse stochastic Hamiltonian term, i.e., ignoring the factors  $e^{\pm i\omega t}$  in the off-diagonal elements of the Hamiltonian

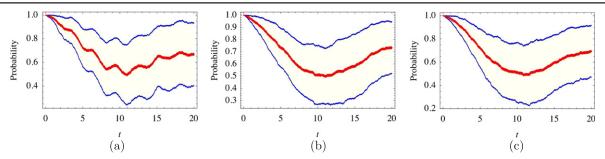
$$\tilde{\mathcal{H}}_{\text{RWA}}(t) = \mathcal{H}_{\text{RWA}} + \mathcal{H}_{\text{st,RWA}}(t) = \begin{pmatrix} -\Delta & \Omega \\ \Omega & 0 \end{pmatrix} + \begin{pmatrix} b_z(t) & \text{i} e^{-i\omega t} \begin{bmatrix} b_x(t) - ib_y(t) \end{bmatrix} \\ -i e^{i\omega t} \begin{bmatrix} b_x(t) + ib_y(t) \end{bmatrix} & -b_z(t) \end{pmatrix}.$$
(25)

The oscillating factors  $e^{\pm i\omega t}$  in the off-diagonal terms can be

ignored if  $\omega \tau_{\rm corr} \ll 1$ , but not otherwise. In figure 7 we used  $\omega \tau_{\rm corr} = 1$ , so we expect that the non-commutativity cannot be ignored, and we took noise only in the x-component of the magnetic field. The calculations in figure 7 were hard to converge with respect to the time-step used, hence we only continued them out to a final time of t = 20. Note that the standard deviation in figure 7(c) is significantly reduced relative to (a) and (b), and the width becomes very close to zero at t = 15.5 where the average probability goes to zero, unlike the results in (a) and (b). Clearly, the results of ignoring the non-commutativity of the RWA transformation and the transverse stochastic Hamiltonian are very different from the RWA taking the non-commutativity into account. The minimum of the probability in (c) is shifted to somewhat smaller time and is *much* closer to zero probability than in (b); moreover the standard deviation in (c) is much smaller than in (b). We also expect a difference between taking and not taking the non-commutativity into account in a master equation approach. The master equation for OU noise could in principle be determined using cumulant generating functional methods and requires calculation of time-ordered exponential functions [38] but this is a difficult task. Figure 8 shows  $\overline{P_b(t)}$  for isotropic Ornstein–Uhlenbeck noise for the off-resonance case,  $\Delta = 0.2$ . Here, the differences between (b) and (c) are small. No difference between (b) and (c) results due to the z-component of the noise field, whose noise Hamiltonian commutes with the RWA transformation; moreover, there is some compensation which takes place between the *x* and *y* components.

# 6. Summary and conclusions

Much of our experience with quantum dynamics comes from applying it to two-level systems driven by an electromagnetic field. But such systems are never truly isolated, and their interaction with their environment affect their mysterious quantum properties, i.e., their quantum coherence. Such interaction is at the heart of the fundamental limitations of quantum metrology and quantum information processing. Using the SLSDE formalism, we studied the dynamics of a two-level quantum system driven by single frequency



**Figure 8.** Isotropic Ornstein–Uhlenbeck noise and off-resonance radiation,  $\Delta = 0.2$ . (a) Probability  $\overline{P_b(t)}$  versus time for the off-resonance case,  $\delta = 1.2$ ,  $\omega = 1.0$  (so  $\Delta = 0.02$ ),  $\Omega = 0.2$ , and isotropic Ornstein–Uhlenbeck noise in the magnetic field, with volatilities  $w_{0,x} = w_{0,y} = w_{0,z} = 0.1$  and mean reversion rate  $\vartheta_x = 1$  (so  $\omega \tau_{corr} = 1$ ). (b) Same as (a) except calculated using the RWA. (c) Same as (b), except that the non-commutativity of the RWA transformation and the transverse stochastic Hamiltonian term is not properly accounted for.

electromagnetic field, with and without making the RWA. If the transformation to the rotating frame does not commute with the stochastic Hamiltonian, i.e., if the stochastic field has nonvanishing components in the transverse direction, the RWA modifies the stochastic terms in the Hamiltonian. The decay terms in a master equation (i.e., the Liouville-von Neumann density matrix equation) will also be affected. We found that for Gaussian white noise, the master equation for the density matrix is easily derived from the SLSE, with and without the RWA. For the RWA, both the SSLE and the derived master equation have Lindblad terms with coefficients that are time-dependent (i.e., the master equation is time-local [9]) when the non-commutation of the RWA transformation and the noise Hamiltonian is properly accounted for. But since  $\omega \tau_{corr}$  effectively vanishes for white Gaussian noise, it is not necessary to take the non-commutation into account, independent of the strength of the noise  $(w_0)$ , and we obtain an analytic expression for the density matrix of the system, equation (22), which fully describes the dynamics of the two-level system in the presence of the noise. On the other hand, for the non-Markovian Ornstein-Uhlenbeck noise case, the RWA dynamics must be calculated taking the non-commutation of the RWA transformation and the noise Hamiltonian into account when  $\omega \tau_{\rm corr} \gtrsim 1$ .

Decoherence and dephasing of two-level systems can be probed by measuring the population decay  $(T_1)$  and the transverse relaxation time  $(T_2)$  in magnetic resonance studies [39, 40]. One well-studied physical system in which such studies have been carried out is the negatively charged NV color center in diamond. An NV center consists of a substitutional nitrogen atom adjacent to a missing carbon atom within the diamond crystal lattice [41]. The negatively charged NV center has a discrete electronic energy level structure and a ground electronic state of symmetry  ${}^{3}A_{2}$ , where this state designation refers to an irreducible representation of the  $C_{3\nu}$  group. The three electronic magnetic sublevels of the triplet ground state are  $|S, M\rangle$ , where S = 1 and  $M = 0, \pm 1$ , with the z axis (quantization axis) taken along the NV axis. The three S = 1 ground state levels are split by a spin-spin (crystal field) interaction that raises the energy of the  $|1, \pm 1\rangle$  states with respect to the  $|1, 0\rangle$  state by D = 2.87GHz. The NV system can behave like a two-level system if one of the three states is not allowed to be populated. The main sources of decoherence are from the paramagnetic impurity spin bath, which dominates at high nitrogen concentration, and interactions with the spin 1/2 <sup>13</sup>C nuclei [42, 43]. Population decay,  $T_1$ , is dominated by Raman-type interactions with lattice phonons at high temperature (room temperature and above). Orbach-type interaction with local phonons at lower temperatures [39, 44, 45], and at temperatures below about 100 K, density-dependent cross-relaxation effects between NV centers and between NVs and other impurities. At these low temperatures, the resulting  $T_1$  can be dramatically tuned using an external magnetic field [39]. For dilute samples, the contribution of NV-NV dipolar interactions to the magnetic resonance broadening can be approximated by assuming that each NV center couples to neighboring NV centers, to substitutional nitrogen (P1) centers, which have a spin of 1/2, and with <sup>13</sup>C nuclei, which have a nuclear spin of 1/2 and a natural abundance of about 1%. Dipolar coupling with other NV centers leads to a spinrelaxation contribution on the order of  $\gamma_{\rm NV} \approx (g_s \mu_B)^2 n_{\rm NV}$ , where  $n_{\rm NV}$  is the NV concentration [43, 46]. For (NV) = 15 ppm, this corresponds to  $\gamma_{\rm NV} \approx 10^6 \, {\rm s}^{-1} \approx \gamma_C$ , where  $\gamma_C$  is the spin relaxation rate to the <sup>13</sup>C nuclei. Since the dynamics of the <sup>13</sup>C nuclear spin is slow, it can be modeled, to good approximation, as quasi-static Guassian noise. Since the spin dynamics of the NV centers and PI centers in diamond are fast, the contribution of NV-NV and NV-P1 interactions can be modeled, to good approximation, as Gaussian white noise. As demonstrated in [40], CW holeburning and lock-in detection can be used to eliminate the linewidth contribution from slowly fluctuating <sup>13</sup>C nuclei while rapidly fluctuating magnetic fields from nearby substitutional nitrogen (P1) centers and NV centers continue to contribute to a reduced linewidth. Hence, by adjusting the external magnetic field strength and the concentrations of NV centers, P1 centers and  ${}^{13}C$ , the volatilities  $w_0$ , the stochastic magnetic field correlation times  $\tau_{\rm corr}$  and the detuning from resonance  $\Delta$  can be modified. If only two of the three triplet ground state levels  $|S, M\rangle$  are populated, the methods developed in this manuscript can be applied directly; if all three levels are populated, it is straightforward to generalize the spin 1/2 treatment here to S = 1. In either case, the conclusions we obtained are quite general and are expected to apply

to the NV diamond system. One would, of course need to know the correlation times and the strength of the noises affecting the NV centers. Specifically, if the correlation time  $\tau_{\text{corr}}$  of the noise (of the bath coupled to the system) is of order of the frequency of the electromagnetic field  $\omega$  that couples the levels, the non-commutativity of the RWA transformation and the noise Hamiltonian must be taken into account, even when the criteria for validity of the RWA for the system are satisfied. For diamond NV centers, the resonant frequency  $\omega$  for transitions from M = 0 to  $M = \pm 1$  is of order GHz (with no external magnetic field, it is 2.87 GHz), so for  $\omega \tau_{\text{corr}} \approx 1$ ,  $\tau_{\text{corr}}$  must be of order milliseconds. When  $\omega \tau_{\text{corr}} \ll 1$ , the non-commutativity need *not* be taken into account.

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